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PATENT

TED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:) Examiner: Kindred, A.
Andrew T. Busey, et al.)
Serial No.: 08/768,606) Art Unit: 2776
Filed: December 18, 1996	RECEIVED
For: METHOD AND APPARATUS FOR	FEB 2 1 2002
EMBEDDING CHAT FUNCTIONS IN A WEB PAGE	OFFICE OF PETITIONS DEPUTY A/C PATENTS

Assistant Commissioner for Patents Washington, D.C. 20231

PETITION TO REVIVE AN ABANDONED APPLICATION UNDER 37 CFR 1.137 (b)

Sir:

The Applicants respectfully petitions The Commissioner for Patents to revive the above-identified application under the provisions of 37 CFR 1.137(b) on the ground that the delay in taking required further action was unintentional.

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington,

Date of I

Carla Zavala Name of Person Mailing Correspondence

Date

02/15/2002 FBESHAH1 00000053 022566 3876860€

01 FC:141 70.00 CH

1210.00 OP

09/768,606

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1. Petition fee

The petition fee of \$1,210.00 for unintentional abandonment of a large entity is enclosed herewith.

2. Reply and/or fee

The reply and fee to the above-noted Office Action in the form of an Amendment and Response are enclosed herewith.

3. Terminal disclaimer with disclaimer fee

Since this utility application was filed on or after June 8, 1995, no terminal disclaimer is required.

4. STATEMENT:

The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. If a petition pursuant to 37 CFR 1.137 is not filed within a year of the date of the abandonment, as per MPEP section 711.03(c)(iii)(C) and (D), the Patent Office requires:

- (A) further information as to when the applicant (or the applicant's representative) first became aware of the abandonment of the application; and
- (B) a showing as to how the delay in discovering the abandoned status of the application occurred despite the exercise of due care or diligence on the part of the applicant (or applicant's representative).

09/768,606

Enclosed are several documents including the Notice of Abandonment, several Notice of Recordation of Assignment documents, an assignment of patent rights, and correspondence letters from current and previous counsel of record.

The above-mentioned application, serial number 08/768,606, was originally assigned to Acuity corporation as evidenced by the Notice of Recordation of Assignment document enclosed herewith. Acuity corporation was acquired by Quintus corporation, and the above-mentioned application was assigned to Quintus. This assignment transfer is evidenced by the Notice of Recordation of Assignment document enclosed herewith. Quintus corporation was then acquired by Avaya corporation, and the above-mentioned application was assigned to Avaya. This is evidenced by the assignment document enclosed herewith which is an assignment of patent rights from Quintus corporation to Avaya, Inc. on April 10, 2001.

As Quintus was acquired by Avaya, the file for the above-mentioned application was transferred first to Quintus and then to Blakely Sokoloff Taylor & Zafman, which is the law firm currently prosecuting the application. During negotiations between Quintus and Avaya, as well as the subsequent multiple transfers of the file from one entity to another, the above-mentioned application went unintentionally abandoned as evidenced by the Notice of Abandonment mailed on June 29, 2000 and enclosed herewith.

The file was first transferred to Blakely Sokoloff by Quintus sometime in September 2000. When the file was transferred, Blakely Sokoloff became aware of the abandonment and intended to revive the case pursuant to instructions from Quintus. This is evidenced in a letter from Blakely Sokoloff to Quintus dated September 11, 2000. However, at that time, negotiations were occurring between Quintus and Avaya for the

09/768,606

acquisition of Quintus's patent rights. The assignment of patent rights did not occur until April 10, 2001 from Quintus to Avaya. Shortly thereafter, counsel at Blakely Sokoloff had not yet received clear instructions to proceed with the case from Avaya.

The transfer of patent rights from Quintus to Avaya that occurred on April 10, 2001 involved a substantial amount of intellectual property. Handling the tasks of sorting out the acquired intellectual property assets and the difficulties associated with those tasks had to be handled before any course of action could be taken with respect to any of the patent application cases, including this one. After the necessary work was done associated with those tasks, copies of the file were transferred to Avaya corporation to determine if Avaya desired to revive the case. A letter was sent from Blakely Sokoloff on July 30, 2001 asking for instructions on how to proceed with the above-referenced case.

A letter was sent from Avaya corporation to Blakely Sokoloff on August 17, 2001 instructing Blakely Sokoloff to take the necessary steps to revive the application.

Accordingly, Blakely Sokoloff has since then reviewed the file and written an Office Action response to file along with this petition to revive.

As illustrated above, the above-mentioned application went abandoned without intent on the part of any of the parties involved, including Quintus corporation, Avaya corporation, and the current counsel of record (Blakely Sokoloff). Accordingly, Applicants respectfully petitions the Commissioner for Patents to revive the above-identified application under the provisions of 37 CFR 1.137(b) on the ground that the delay in taking required further action was unintentional.

09/768,606

Please charge any additional fees or credit any overpayment to our Deposit

Account No. 02-2666.

Respectfully submitted,

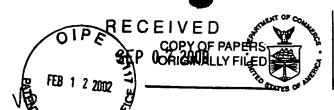
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Archana B. Vittal

Attorney for Applicant

Reg. No. 45,182

12400 Wilshire Boulevard Seventh Floor Los Angeles, CA 90025-1026 (408) 720 – 8300



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

RLICATION NOO FILING DATE FIRST NAMED INVENTOR ATTO

ATTORNEY DOCKET NO.

08/768,606

12/18/96

BUSEY

A1041C1-US

EXAMINER

LM02/0829

KINDRED, A

STEVEN R SPRINKLE
GARY CARY WARE & FREIDENTICH LLP
SUITE 1440

ART UNIT

PAPER NUMBER

100 CONGRESS AVENUE AUSTIN TX 78701 2771

DATE MAILED:

06/29/00

Please find below and/or attached an Office.communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

Notice of Abandonment. 8/29/00

PTO-90C (Rev. 2/95)

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FER 1 2 2002

Notice of Abandonment

Application No. Applicant(s)

08/768,606

Busey et al.

Examiner

Kindred

Group Art Unit 2771

Th	is a	pplication is abandoned in view of:
X	apı	plicant's failure to timely file a proper response to the Office letter mailed on
		A response (with a Certificate of Mailing or Transmission of) was received on, which is after the expiration of the period for response (including a total extension of time of month(s)) which expired on
	Ø	A proposed response was received on $\frac{42700}{}$, but it does not constitute a proper response to the final rejection.
		(A proper response to a final rejection consists only of: a timely filed amendment which places the application in condition for allowance; a Notice of Appeal; or the filing of a continuing application under 37 CFR 1.62 (FWC)).
ja J	%	No response has been received.
		plicant's failure to timely pay the required issue fee within the statutory period of three months from the mailing date the Notice of Allowance.
		The issue fee (with a Certificate of Mailing or Transmission of) was received on
		The submitted issue fee of \$ is insufficient. The issue fee required by 37 CFR 1.18 is \$
		The issue fee has not been received.
	app	plicant's failure to timely file new formal drawings as required in the Notice of Allowability.
		Proposed new formal drawings (with a Certificate of Mailing or Transmission of) were received on
		The proposed new formal drawings filed are not acceptable.
		No proposed new formal drawings have been received.
	the	express abandonment under 37 CFR 1.62(g) in favor of the FWC application filed on
		letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire erest, or all of the applicants.
		letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under CFR 1.34(a)) upon the filing of a continuing application.
		e decision by the Board of Patent Appeals and Interferences rendered on and because the period seeking court review of the decision has expired and there are no allowed claims.
	the	e reason(s) below:
		C KIM VU
		SUPERVISORY PATENT EXAMINER. TECHNOLOGY CENTER 2700

UNITED STATES DEPARTMENT OF COMMERCE Patrit and Trademark Office ASSIS I ANT SECRETARY AND COMMISSIONER

OF PATENTS AND TRADEMARKS Weshington, D.C. 20231

BLAKELY, SOKOLOFF, TAYLOR ET AL. JAMES H. SALTER 12400 WILSHIRE BLVD. 7TH FLOOR LOS ANGELES, CA 90025

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RECORDATION DATE: 10/26/2000

REEL/FRAME: 011063/0577

NUMBER OF PAGES: 11

BRIEF: AGREEMENT AND PLAN OF REORGANIZATION

ASSIGNOR:

DOC DATE: 09/10/1999 ACUITY CORPORATION

ASSIGNOR:

RIBEYE ACQUISITION CORPORATION DOC DATE: 09/10/1999

ASSIGNEE:

QUINTUS CORPORATION 47212 MISSION FALLS COURT FREMONT, CALIFORNIA 94539

SERIAL NUMBER: 08722898 PATENT NUMBER: 5764916

FILING DATE: 09/27/1996 ISSUE DATE: 06/09/1998

SERIAL NUMBER: 08768606

FILING DATE: 12/18/1996

PATENT NUMBER:

ISSUE DATE:

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011063/0577 PAGE 2

MAURICE CARTER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS OFEN MOOTGREEFITO 10/26/2000 13:03 FAX 408 720 .

FORM PTO-1619A

OMB 0651-0027

B 9 T & Z 10/26/2000

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U.S. Department of Commerce Patent and Trademark Office **PATENT**

RECORDATION FORM COVER SHEET

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TO: The Commissioner of Patents and Trademarks	: Please re	cord the attache	d origina	document(s) or copy(ies).		
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Resubmission (Non-Recordation)	_					
Document ID #		License		Change of Name		
Correction of PTO Error		Marger		Other 3		
Resl# Prame #		wer Rot		Other Agreement And of Reorganization		
Corrective Document		U.	S. Govern			
Reel # Frams #	.[·	•	rument Agencies)		
Attorney Docket No: 004068 P004		Departm	cotal File	Scoret File		
Conveying Party (les)	Mark if additi	onal names of convey	ing parties a	ttsched Excecution Date Month Day Year		
Name (1st party) Acuity Corporation				09 10 1999		
Namo (2nd party) Ribeye Acquisition Corporation				09 10 1999		
Name (3rd perty)						
Name (4th party)						
Receiving Party	Mark if additio	nul names of receiving	g parties att	iched		
				If doctument to be recorded is		
Name (line 1) Quintus Corporation				to establiment and the		
Name (line 2) a corporation of Delaware				dorniciled in the United States, an appointment of a		
Address (line 1) 47212 Mission Falls Court				domestic representative is armiched. (Designation must		
Address (line 2)			, <u></u>	be a separate document from Audgement.)		
Address (line 3) Fremont	CA		94539			
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U.S. Department of Commerce

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Address (linc 1)	Blakeiy, Sokoloff, Taylor &	Zefman LLP	
Address (line 2)	12400 Wilshire Bouleverd		
Address (line 3)	Seventh Floor		
Address (line 4)	Los Angeles, CA 90025		
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Application N	umber (s) or Patent N	umber (s)	Mark if additional numbers attached
		mber or the Patent Number (DO NOT ENTE	R BOTH numbers for the same property).
	Application Number (s)		Patent Number (s)
08722898 08768606			
09709000			
	s being filed together with a was signed by the first name	new Patent Application, enter the date the ed executing inventor.	Month Day Year
Patent Cooper	ation Treaty (PCT)		
	T application number only i		PCT
U.S. App assigned.	plication Number has not bee	PCT PCT _	PCT
Number of Pro	perties	Enter the total number of properties inv	olved. # <u>2</u>
Fee Amount	1	Fee Amount for Properties Listed (37 CFR	3.41): \$ 80.00
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James I	I. Saltar 35	5.668	10/21/10
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BLAKELY, SOKOLOFF, TAYLOR ET AL.

Company: Fax Number:

JAMES H. SALTER 14087201658

Voice Phone:

Fax Notes:

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JULY 30, 2001
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FEB 1 2 2002

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RECORDATION DATE: 07/26/2001 REEL/FRAME: 011783/0692 NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

OUINTUS CORPORATION DOC DATE: 04/10/2001

ASSIGNEE:

AVAYA INC.

211 MOUNT AIRY ROAD

BASKING RIDGE, NEW JERSEY 07920

(SERIAL NUMBER: 09209800 FILING DATE: 12/11/1998

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 09374646 FILING DATE: 08/16/1999

PATENT NUMBER: ISSUE DATE:

SERIAL NUMBER: 08741470 FILING DATE: 10/30/1996

PATENT NUMBER: ISSUE DATE:

MSERIAL NUMBER: 09187895 FILING DATE: 11/06/1998

PATENT NUMBER: ISSUE DATE:

FEB 1 2 2002

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FORM PTO-158 U.S. DEPARTMENT OF COMMERCE Recordation Form Cover Sheet RADEMA Patent and Trademark Office (Rev. 3/01) **PATENTS ONLY** OMD NO. 0651-0011 exp. 5/31/2002) To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof. 1. Name of conveying party(ica); Name and address of receiving party(les); Quintus Corporation Name: Avaya Inc. Additional name(s) of conveying party(les) attached? Internal Address: No No □Yos S. Nature of Conveyance Street Address: 211 Mount Airy Road Assignment ☐ Mergor Security Agreement ☐ Change of Name City: Basking Ridge State/Provence: New Jersey Zlp; 07920. Other: Country: USA Additional name(s) & address(es) attached? Execution Date(s): 04/10/200 ☐ Yos No 4. Application Number(s) or patent number(s): : if this document is being filed together with a new application, the execution date of the application is: A. Patont Application No.(a) 09/209,800, 09/374,646, 06/741,470, 09/187,885, 09/240,207, 09/416,737, B. Patent No.(a) U.S. Patent No.: 5,764,918 09/210,169, 08/768,606, 09/060,867, Additional numbers attached? Yes Name and address of party to whom correspondence 8. Total number of applications and patents involved: 10 concerning document should be malled: Thomas C. Webster 7. Total Fee (37 CFR 9.41)......\$400.00 Namo: Blakely, Sokoloff, Taylor & Zafman LJ.P. ☐ Enclosed Internal Address: Authorized to be charged to deposit account Street Address: 12400 Wilshire Bouleyard, 7th Floor B. Doposit Account Number: City: Los Angeles State: California Zip: 90X125 02-2666 (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and believe, the foregoing is true and correct and any attached copy is a true copy of the original document

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Washington, D.C. 20231

oning Signature
Total number of pages including cover sheet, attachments, and document. 4.

Any Docket No. 04068G000

Innus II. Salter Reg. No.:35,668
Name of Person Signing

011783/0692 PAGE 2

SERIAL NUMBER: 09240207 PATENT NUMBER:

OSERIAL NUMBER: 09416737

PATENT NUMBER:

Q0 SERIAL NUMBER: 09210169 PATENT NUMBER:

SERIAL NUMBER: 08768606

PATENT NUMBER:

SERIAL NUMBER: 09060867

PATENT NUMBER:

SERIAL NUMBER: 08722898

PATENT NUMBER: 5764916

FILING DATE: 01/29/1999

ISSUE DATE:

FILING DATE: 10/13/1999

ISSUE DATE:

FILING DATE: 12/11/1998

ISSUE DATE:

FILING DATE: 12/18/1996

ISSUE DATE:

FILING DATE: 04/15/1998

ISSUE DATE:

FILING DATE: 09/27/1996

ISSUE DATE: 06/09/1998

KIMBERLY WHITE, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

ASSIGNMENT OF PATENT RIGHTS

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, Quintus Corporation. ("Assignor"), a corporation organized and existing under the laws of the State of Delaware and having a place of business at 4120 Dublin Boulevard, Dublin, California 94568, does hereby:

SELL, ASSIGN AND TRANSFER to Avaya Inc. (the "Assignee"), a corporation organized and existing under the laws of the State of Delaware and having a place of business at 211 Mount Airy Road, Basking Ridge, New Jersey 07920, the entire worldwide right, title and interest in the inventions set forth in the U.S. Patent applications and Patents as set forth in Appendix A hereto and in and to all patents which may be granted from divisions, reissues, substitutions, continuations, continuations-in-part, reexaminations, foreign counterparts and extensions thereof claiming priority to any of the applications underlying said patents, together with the assignment of all causes of action (either at law or in equity) and the right to sue for past infringement of said patents and all rights of recovery, including but not limited to damages, for such past infringement and all rights to receive royalty income;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the Assignor and that the full right to convey the above patents as herein expressed is possessed by the Assignor,

COVENANT agrees that, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the Assignor will communicate to the Assignee all facts known to the Assignor relating to the inventions of said patent applications and the history thereof and generally do everything possible which the Assignee reasonably necessary for maintaining and enforcing proper patent protection for such patent applications and any patents issuing therefrom and to cooperate with Assignee to execute all further agreements, instruments, papers, applications, certificates and other documents and to generally perform such other proper acts as Assignee or its successors or assigns may deem reasonably necessary to secure to Assignee or its successors or assigns the rights hereby transferred, and to do everything to convey all Assignor's right, title and interest to Assignee and to Assignee, its successors, assigns, and legal representatives to obtain recordation of such conveyance to vest title to such patents and patent applications throughout out the world in the Assignee.

TO BE BINDING on the heirs, assigns, representatives and successors of the Assignor and extend to the successors, assigns and nominees of the Assignee.

Quintus Corporation (Assignor).
- 10-03 Af
By: Day Daille!
Title:
Date:

State of New York
County of New York

Notary Public

KRISTINE CHUNG
Notary Public, State of New York
No. 01CH6046895
Qualified in Queens County
Certificate Filed in New York County
Commission Expires August 21, 200 2

APPENDIX A

- U.S. Patent Application Serial Number 09/209,800 filed December 11, 1998 entitled "Automatic Call Distribution System Using Computer Network-Based Communication"
- U.S. Patent Application Serial Number 09/374,646 filed August 16, 1999 entitled "Contact Tracking and Routing System and Method"
- U.S. Patent Application Serial Number 08/741,470 filed October 30, 1996 entitled "Method and Apparatus for Synchronizing Browse and Chat Functions on a Computer Network"
- U.S. Patent Application Serial Number 09/187,895 filed November 6, 1998 entitled "Method and System for Coordinating Media and Messaging Operations in an Information Processing System"
- U.S. Patent Application Serial Number 09/240,207 filed January 29, 1999 entitled "Method and System for Memory Resident Transient Storage of Data Associated with a Plurality of Collaboration Computer Processes"
- U.S. Patent Application Serial Number 09/416,737 filed October 13, 1999 entitled "Multi-Tasking Web-Based Call Center"
- U.S. Patent Application Serial Number 09/210,169 filed December 11, 1998 entitled "Web Response Unit Including Computer Network-Based Communication"
- U.S. Patent Application Serial Number 08/768,606 filed December 18, 1996 entitled "Method and Apparatus for Embedding Chat Functions in a Web Page"
- U.S. Patent Application Serial Number 09/060,867 filed April 15, 1998 entitled "Method and Apparatus for Real Time Network Communication"

Patent Cooperation Treaty (PCT) International Application Number PCT/US00/02040 filed January 27, 2000 entitled "Method and System for Memory Resident Transient Storage of Data Associated with a Plurality of Collaborating Computer Processes"

Patent Cooperation Treaty (PCT) International Application Number PCT/US00/21336 filed August 2, 2000 entitled "Contact Routing System and Method"

Patent Cooperation Treaty (PCT) International Application Number PCT/US00/22152 filed August 10, 2000 entitled "Multi-Tasking Web-Based Call Center"

U.S. Patent No. 5,764,916 issued June 9, 1998 entitled "Method and Apparatus for Real Time Communication Over a Computer Network"

BLAKELY SOKOLOFF TAYLOR & ZAFMAN

A LIMITED LIABILITY PARTNERSHIP INCLUDING LAW CORPORATIONS

TELEPHONE (408) 720-8300

FACSIMILE (408) 720-9397
BSTZ_MAIL@BSTZ.COM
WWW.BSTZ.COM

INTELLECTUAL PROPERTY LAW

1279 OAKMEAD PARKWAY SUNNYVALE, CALIFORNIA 94086-4039 OTHER OFFICES

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Barry Price
Product Strategist
Quintus Corporation
11100 Metric Blvd. Building Seven
Austin, Texas 78758

CONFIDENTIAL COMMUNICATION
ATTORNEY/CLIENT PRIVILEGED

Via First Class Mail

Re:

U.S. Patent Application for:

"METHOD AND APPARATUS FOR EMBEDDING CHAT

FUNCTIONS IN A WEB PAGE"

Inventors:

Andrew T. Busey, Gerald H. Weghorst, Jr.

Filed:

December 18, 1996

Serial No.:

08/768,606

Our Ref.:

04068.P002X

Dear Barry:

We received the above-referenced file. This confirms that the case is abandoned due to missing the deadline to file a response to the Advisory Action. We assume you would like for us to revive the patent application, and plan to do so unless you instruct otherwise.

Please contact me if you have any questions.

Respectfully,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Louis Welste

Thomas C. Webster Thomas_Webster@bstz.com

TCW/bs

BLAKELY SOKOLOFF TAYLOR ZAFMAN

A LIMITED LIABILITY PARTNERSHIP INCLUDING LAW CORPORATIONS

TELEPHONE (408) 720-8300

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VIA FEDERAL EXPRESS

David Volejnicek, Esq. Avaya, Inc. 11900 North Pecos Street Room 2U41

Westminster, Colorado 80234

CONFIDENTIAL COMMUNICATION
ATTORNEY/CLIENT PRIVILEGED

Re:

Avaya, Inc.

U.S. Patent Application for:

"METHOD AND SYSTEM FOR COORDINATING MEDIA AND MESSAGING OPERATIONS IN AN INFORMATION

PROCESSING SYSTEM" Inventor: Andrew T. Busy Filed: November 6, 1998 Serial No.: 09/187,895 Our Ref.: 04068.P004X2

Dear David:

As per our conversation, please find enclosed a copy of documents relating to the file history of the above-referenced patent application. As mentioned previously, this application is in a state of abandonment.

Please review the documents and contact me with instructions on how to proceed. Also, please feel free to contact me with any questions.

Respectfully,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Archana B. Vittal

ABV/cz Enclosures Community FEB 1 2 2002

Archana B. Vittal, Esq.

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VIA FACSIMILE

Dear Ms. Vittal:

Re: QUINTUS App. Ser. no. 08/768,606

Your Ref: 04068.P002X

This is in response to your letter of 27 July 2001 requesting instructions on reviving the above-identified abandoned application.

Please proceed to revive this application.

In the continuation application that must be filed to revive the abandoned application, you might consider amending the independent claims along the following lines:

A method for embedding chat functions in a Web page, comprising:

in response to a command received by a computer, establishing a browser window including a browser frame controlled by a browser client on a display device; and

establishing a chat frame controlled by a chat client within the browser window on the display device contemporaneously with the browser frame, the chat frame being a real-time continuously-open bidirectional communications chat frame.

A dependent or another independent claim may recite that the browser frame displays a Web page.

Please call me with any questions.

Yours truly,

David Voleinicek
Corporate Counsel
Intellectual Property

DV:kmr

Copy to T. Bean

Send all correspondence to: Docket Administrator, Avaya Inc., P.O. Box 629, Holmdel, New Jersey, 07733, United States of America